
FAIS DISCLOSURE STATEMENT

STATUTORY FAIS DISCLOSURE STATEMENT ON VUNANI FUND MANAGERS (PTY) LTD TO BE GIVEN TO ALL CLIENTS

1. **Full business and trade name:** Vunani Fund Managers (Pty) Ltd
2. **FSP licence:**
 - **Number:** 608
 - **Licensed financial services:** Advice, intermediary service and discretionary FSP in respect of the following products:
 - Securities and instruments: shares, money market instruments, debentures and securitised debt, warrants, bonds, derivatives;
 - Deposits as defined in the Banks Act;
 - Participatory interest in one or more collective investment scheme
 - **Conditions/restrictions:** Available on request
3. **Registration number:** 1999/015894/07
4. **Postal address:** PO Box 44586, Claremont, 7735
5. **Physical address:** 6th Floor, Letterstedt House, Newlands on Main, Newlands, 7700
6. **Telephone:** 021 670 4900
7. **Web site:** www.vunanifm.co.za
8. **E-mail address:** info@vunanifm.co.za
9. **Contact people:**
 - **Name:** Romeo Makhubela
 - **Designation:** CEO
 - **Telephone:** 021 670 4900
 - **E-mail:** romeom@vunanifm.co.za

 - **Name:** Guy Fletcher
 - **Designation:** CIO
 - **Telephone:** 021 670 4969
 - **E-mail:** guyf@vunanifm.co.za

10. **Compliance officer:**

- **Name:** Kirsten Elvin-Jensen
- **Telephone:** 021 670 4993
- **E-mail:** compliance@vunanifm.co.za

11. **Professional indemnity cover:** R50m cover (Chartis Insurance South Africa)

12. **FAIS exemptions:** None.

13. **Resolution of complaints:**

- All complaints are to be in writing and addressed to the compliance officer (details as per 10 above).
- Once received by the compliance officer, these complaints will be noted in the complaints register.
- An acknowledgement of receipt of the complaint will be forwarded to the client.
- The compliance officer will consult with the relevant managers/employees to obtain facts surrounding the complaint.
- If the complaint is resolved in favour of the client, the discretionary FSP will ensure that a full and appropriate level of redress is offered to the client without any delay.
- If the outcome is not favourable to the client, the discretionary FSP must provide full written reasons to the client within 6 weeks of receipt of a complaint.
- If the client is not satisfied with the resolution of the complaint by the discretionary FSP then the client may, if it wishes to pursue the matter, refer the complaint to the Office of the Ombud for Financial Services Providers within 6 months.
- Contact particulars of Ombud for Financial Services Providers:
FAIS OMBUD
P O Box 74751
Lynnwood Ridge
0040
Tel: 0860324766 / (012) 470-9080
Fax: (012) 348 3447
www.faisombud.co.za
- For a copy of the detailed internal complaint resolution system and procedures, contact the compliance officer (details as per 10 above).