

## STATUTORY FAIS DISCLOSURE STATEMENT ON VUNANI FUND MANAGERS (PTY) LTD TO BE GIVEN TO ALL CLIENTS

1. Full business and trade name: Vunani Fund Managers (Pty) Ltd
2. FSP licence Number: 608
  - Licenced financial services: Advice, intermediary service and discretionary FSP in respect of the following products:
    - Securities and instruments: shares, money market instruments, debentures and securitised debt, warrants, bonds, derivatives.
    - Deposits as defined in the Banks Act.
    - Structured Deposits.
    - Participatory interest in one or more collective investment scheme, including participatory interest in a collective investment scheme in a hedge fund.
  - Conditions/restrictions: Available on request
3. Registration number: 1999/015894/07
4. Postal address: PO Box 44586, Claremont, 7735
5. Physical address: 1st Floor, Cavendish Links, 1 Cavendish Street, Claremont, 7708
6. Telephone: 021 670 4900
7. Web site: [www.vunanifm.co.za](http://www.vunanifm.co.za)
8. E-mail address: [info@vunanifm.co.za](mailto:info@vunanifm.co.za)
9. Contact person:
  - **Name:** Snowy Masakale
  - **Designation:** CEO
  - **Telephone:** 021 670 4900
  - **E-mail:** [snowym@vunanifm.co.za](mailto:snowym@vunanifm.co.za)
  - **Name:** Sarfaraz Narker
  - **Designation:** CIO
  - **Telephone:** 021 670 4943
  - **E-mail:** [safsn@vunanifm.co.za](mailto:safsn@vunanifm.co.za)
  - **Name:** Kirsten Elvin-Jensen
  - **Designation:** Chief Operating Officer & Chief Information Officer
  - **Telephone:** 021 670 4993
  - **E-mail:** [kirstenej@vunanifm.co.za](mailto:kirstenej@vunanifm.co.za)

P O Box 44586, Claremont, 7735

1st Floor, Cavendish Links, 1 Cavendish Street, Claremont, 7708, Cape Town

T (021) 670 4900 | F (021) 683 5788 | E [info@vunanifm.co.za](mailto:info@vunanifm.co.za) | W [www.vunanifm.co.za](http://www.vunanifm.co.za)

Registration Number: 1999/015894/07. | Executive Directors: S Masakale | Non-Executive Directors: N Chonco, M Nhlanhla, E Dube

Vunani Fund Managers (Pty) Ltd is an authorised financial services provider. FSP License No.: 608

## 10. Compliance &amp; Money Laundering Reporting Officer:

- Name: Vuyiseka Kulati
- Telephone: 021 670 4980
- E-mail: [compliance@vunanifm.co.za](mailto:compliance@vunanifm.co.za)

11. Professional indemnity cover: R100m group cover

12. FAIS exemptions: None

## 13. Resolution of complaints:

- All complaints are to be in writing and addressed to the compliance officer (details as per 10 above).
  - Once received by the compliance officer, these complaints will be noted in the complaints register.
  - An acknowledgement of receipt of the complaint will be forwarded to the client.
  - The compliance officer will consult with the relevant managers/employees to obtain facts surrounding the complaint.
  - If the complaint is resolved in favour of the client, the discretionary FSP will ensure that a full and appropriate level of redress is offered to the client without any delay.
  - If the outcome is not favourable to the client, the discretionary FSP must provide full written reasons to the client within 6 weeks of receipt of a complaint.
  - If the client is not satisfied with the resolution of the complaint by the discretionary FSP then the client may, if it wishes to pursue the matter, refer the complaint to the Office of the Ombud for Financial Services Providers within 6 months.
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- Contact Details: Ombud for Financial Services Providers:  
FAIS OMBUD  
P O Box 74751, Lynnwood Ridge, 0040  
Tel: 0860324766 / (012) 470-9080  
[www.faisombud.co.za](http://www.faisombud.co.za)
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- For a copy of the detailed internal complaint resolution system and procedures, contact the compliance officer (details as per 10 above).