

Vunani Fund Managers
Statutory FAIS Disclosure
Statement

STATUTORY FAIS DISCLOSURE STATEMENT ON VUNANI FUND MANAGERS (PTY) LTD TO BE GIVEN TO ALL CLIENTS

1. Full business and trade name: Vunani Fund Managers (Pty) Ltd
2. FSP licence Number: 608
 - Licenced financial services: Advice, intermediary service and discretionary FSP in respect of the following products:
 - Securities and instruments: shares, money market instruments, debentures and securitised debt, warrants, bonds, derivatives.
 - Deposits as defined in the Banks Act.
 - Structured Deposits.
 - Participatory interest in one or more collective investment scheme, including participatory interest in a collective investment scheme in a hedge fund.
 - Conditions/restrictions: Available on request
3. Registration number: 1999/015894/07
4. Postal address: PO Box 44586, Claremont, 7735
5. Physical address: 1st Floor, 5 Cavendish Street, Claremont, 7708
6. Telephone: 021 670 4900
7. Web site: www.vunanifm.co.za
8. E-mail address: info@vunanifm.co.za
9. Contact e-mail addresses:
 - **Accounts:** accounts@vunanifm.co.za
 - **Cash flows:** cashflows@vunanifm.co.za
 - **Client Administration:** client_liaison@vunanifm.co.za
 - **Client Services:** clientservice@vunanifm.co.za
 - **EXCO:** exco@vunanifm.co.za
 - **Key Individuals:** keyindividuals@vunanifm.co.za

- **Name:** Butana Khoza
- **Designation:** CEO
- **Telephone:** 021 670 4900
- **E-mail:** ButanaK@vunanifm.co.za
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- **Name:** Sarfaraz Narker
- **Designation:** CIO
- **Telephone:** 021 670 4943
- **E-mail:** safsn@vunanifm.co.za

- **Name:** Kirsten Elvin-Jensen
- **Designation:** Chief Operating Officer &
Chief Information Officer
- **Telephone:** 021 670 4993
- **E-mail:** kirstenej@vunanifm.co.za

10. Compliance & Money Laundering Reporting Officer/s:

- **Name:** Vuyiseka Kulati Mfundo Ntlombe
- **Telephone:** 021 670 4980 021 670 4929
- **E-mail:** compliance@vunanifm.co.za

11. Professional indemnity cover: R100m Group Cover

12. FAIS exemptions: None

13. Resolution of complaints:

- All complaints are to be in writing and addressed to the compliance officer (details as per 10 above).
- Once received by the compliance officer, these complaints will be noted in the complaints register.
- An acknowledgement of receipt of the complaint will be forwarded to the client.
- The compliance officer will consult with the relevant managers/employees to obtain facts surrounding the complaint.
- If the complaint is resolved in favour of the client, the discretionary FSP will ensure that a full and appropriate level of redress is offered to the client without any delay.
- If the outcome is not favourable to the client, the discretionary FSP must provide full written reasons to the client within 6 weeks of receipt of a complaint.
- If the client is not satisfied with the resolution of the complaint by the discretionary FSP then the client may, if it wishes to pursue the matter, refer the complaint to the Office of the Ombud for Financial Services Providers within 6 months.
- Contact Details: Ombud for Financial Services Providers:
FAIS OMBUD
P O Box 74751, Lynnwood Ridge, 0040
Tel: 0860324766 / (012) 470-9080
www.faisombud.co.za
- For a copy of the detailed internal complaint resolution system and procedures, contact the compliance officer (details as per 10 above).

14. Data Privacy

- A copy of Vunani Fund Managers' Privacy Statement is available: www.vunanifm.co.za
If you have questions about this privacy statement or believe we have not adhered to it, or need further information about our privacy practices or wish to give or withdraw consent, exercise preferences or access or correct your personal information, please contact us:
- Information Officer : Kirsten Elvin-Jensen
E-mail – kirstenej@vunanifm.co.za
Tel - +27 (0)21 670 4993
- Deputy Information Officer : Vuyiseka Kulati
E-mail - compliance@vunanifm.co.za
Tel - +27 (0)21 670 4980
- We would appreciate the opportunity to first deal with any complaints you have about our processing of your personal information, however you have the right to lodge a complaint with the Information Regulator if you think that we have infringed any of your rights. You may contact the Information Regulator at:
- Physical Address: JD House, 27 Stiemens Street, Braamfontein, Johannesburg, 2001
Email Address (general enquiries): infoereg@justice.gov.za
Email Address (complaints): complaints.IR@justice.gov.za